AUDIT & BUSINESS COMMITTEE
December 1, 2022

Roaden University Center, Room 282

AGENDA

I.   Call to Order

II.   Approval of Minutes

III.   Composite Financial Index

IV.   FY2022-23 Revised Budget/Organizational Chart

V.   Tuition Transparency Act Report (T.C.A.§ 49-7-1604)

VI.   TTU Policy 537 (Naming Buildings, Facilities and Organizational Units)

VII.   Approval of 2023 Audit Plan

VIII. Adjournment of Open Session and Call to Order of Non-Public Executive Session to Discuss Audits, Investigations, Litigation, and Matters Deemed Not Subject to Public Inspection Pursuant to T.C.A. § 4-35-108(b)(1)-(3)

IX.   Adjournment

AUDIT & BUSINESS COMMITTEE

October 6, 2022

Roaden University Center, Room 282

MINUTES

AGENDA ITEM 1 – CALL TO ORDER

The Tennessee Tech Board of Trustees Audit & Business Committee met on October 6, 2022 in Roaden University Center Room 282. Chair Johnny Stites called the meeting to order at 10:02 a.m.

Chair Stites asked Mr. Lee Wray, Secretary, to call the roll. The following members were present:
- Johnny Stites
- Fred Lowery (virtual)
- Thomas Lynn (virtual)

Other board members also in attendance were Dan Allcott, Tom Jones, Rhedona Rose, Teresa Vanhooser, Barry Wilmore, and Trudy Harper. Savannah Griffin participated electronically. Thomas Lynn and Fred Lowery attended virtually. Each confirmed that they could simultaneously hear and speak to the Board members, that they were alone and that they received the materials in advance of the meeting. A quorum was present. Tennessee Tech faculty, staff and members of the public were also in attendance.

AGENDA ITEM 2 – APPROVAL OF MINUTES

Chair Stites asked for approval of the minutes of the June 23, 2022 Audit & Business Committee meeting. Chair Stites asked if there were questions or comments regarding the minutes. There being none, Mr. Lowery moved to recommend approval of the minutes. Mr. Lynn seconded the motion. Mr. Wray called a roll call vote. The motion carried unanimously.

AGENDA ITEM 3 – FINANCIAL UPDATE
Dr. Stinson gave the committee an update on university finances including tuition and fee

revenue projections based on fall semester enrollments.

This was an informational item therefore no action was required.

AGENDA ITEM 4 – MASTER PLAN AMENDMENT

Dr. Stinson advised the amendment included the demolition rather than renovation of Crawford Hall and purchase of Crossville Property. The demolition of Crawford Hall will allow a larger footprint for the new academic classroom building. The Crossville property is envisioned to serve primarily as a research facility with some space available for classroom instruction and potential to lease some space to other organizations that support university research and/or instruction.

Mr. Lynn moved to send the Master Plan amendment to the Board for approval and to place it on the Board's regular agenda. Mr. Lowery seconded the motion. Mr. Wray took a roll call vote. The motion carried unanimously.

AGENDA ITEM 5 – LAND ACQUISITION

The Crossville property (174 Fourth Street Crossville, TN) had been acquired by Tennessee Tech Foundation on behalf of the University. The university has received recurring state appropriations to acquire and operate the facility.

Mr. Lowery moved to send the land acquisition from the Tennessee Tech Foundation of property located at 174 Fourth Street Crossville TN to the Board for approval and to place it on the Board's regular agenda. Mr. Lynn seconded the motion. Mr. Wray took a roll call vote. The motion carried unanimously.

AGENDA ITEM 6 – CAPITAL BUDGET FY2023-24

Dr. Stinson advised some modifications had been made to the Capital Budget request since the June 2022 Board meeting (Attachment A).

Mr. Lynn moved to send the FY2023-24 revised Capital Budget request to the Board for approval and to place it on the Board's regular agenda. Mr. Lowery seconded the motion. Mr. Wray took a roll call vote. The motion carried unanimously.

AGENDA ITEM 7 – DISCLOSED PROJECT

Dr. Stinson advised the disclosed project was the football operations center to be funded through donations. About half of the money has been raised but we are submitting this project now in order to begin the design process.

Mr. Lowery moved to send the 3rd quarter FY2022-23 Disclosed Project for the Football

Operations Center to the Board for approval and to place it on the Board's regular agenda. Mr. Lynn seconded the motion. Mr. Wray took a roll call vote. The motion carried unanimously.

AGENDA ITEM 8 – TTU POLICY 511.1(FEES, CHARGES, REFUNDS AND ADJUSTMENTS)

Dr. Stinson advised the revisions included residency classification for military-affiliated students and resulting fee assessment per State law (T.C.A. 49-7-1303). This allows military-affiliated individual to pay in-state tuition rates if they are enrolled at Tennessee Tech but reside outside of the State of Tennessee.

Mr. Lynn moved to send TTU Policy 511.1 as presented to the Board for approval and to place it on the Board's consent agenda. Mr. Lowery seconded the motion. Mr. Wray took a roll call vote. The motion carried unanimously.

AGENDA ITEM 9 – PERFORMANCE EVALUATION AND PERFORMANCE-BASED COMPENSATION ANALYSIS

Kevin Vedder, Associate Vice President of Human Resources presented year 7(FY2021-22) of the performance evaluation process. The criteria for non-faculty was academic excellence, student success, community engagement, meaningful innovation, supportive environment and value creation. The criteria for faculty was based on teaching, advisement, administration, service/outreach and research/scholarship/creative activity.

The performance-based compensation was a four percent pool based on the eligibility of faculty scores to be acceptable or better rating and staff scores meets expectations or better rating. Eligible employees must have been hired on or before December 31, 2021, the employee not placed on a performance improvement plan during the fiscal year (July 1, 2021- June 30, 2022) and the minimum award was 1% with the maximum award being 7%. Details of the distribution were provided.

This was an informational item therefore no action was required.

AGENDA ITEM 10 – TENURE UPON APPOINTMENT RECOMMENDATION

Dr. Bruce requested the tenure recommendation for Dr. Joey Shaw. He was hired as Director for the School of Agriculture in the College of Agriculture and Human Ecology.

Mr. Lowery moved to send the tenure recommendation to the Board for approval and to place it on the Board's consent agenda. Mr. Lynn seconded the motion. Mr. Wray took a roll call vote. The motion carried unanimously.

AGENDA ITEM 11 – ADJOURNMENT OF OPEN SESSION & CALL TO ORDER OF NON-PUBLIC EXECUTIVE SESSION

There being no further business, the meeting adjourned at 11:30 a.m. After a short break, the Non-Public Executive Session began at 11:39 a.m. Trustees and required Administration were present for the meeting.

AGENDA ITEM 12 – ADJOURNMENT

There being no further business, the Non-Public Executive Session adjourned at 12:58 p.m.

Approved,

_____

Lee Wray, Secretary

Attachment A

2

Capital Outlay Request FY2023-24
Requested for State Funding

Fiscal Year	Project	Initial Project Cost	Updated Project Cost	Project Description
2023-24	Academic Classroom Building	$55,390,000	$66,500,000	Demolish Matthews, Daniel and Crawford Halls. Construct new building that will provide classrooms, faculty offices and support spaces.
Funding sources:				
State capital appropriations		**$51,867,196**	**$61,180,000**	
Match required		3,522,804	**$5,320,000**	
	Total Requested	**$55,390,000**	**$66,500,000**	

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Capital Maintenance Projects Request FY2023-24
Requested for State Funding

New Priority	June Priority	Project	New Project Cost	June Cost	Project Description
1	1	RUC HVAC Upgrades	**$1,150,000**	$1,100,000	Replace air handlers. Clean coils and ductwork.
2	2	Elevator Upgrades	**$870,000**	$943,381	Refurbish for safer operability.
3	Not included	Campus-wide Building Controls	**$2,025,000**	$0	Upgrade pneumatic controls to digital controls on several buildings.
4	Not included	Derryberry Hall Upgrades Phase 1	**$2,480,000**	$0	Evaluate/replace/update mechanical, electrical and plumbing as needed. Any needed exterior repairs.
5	Not included	Bryan Fine Arts Auditorium	**$2,300,000**	$0	Upgrade MEP systems, stage lighting, sound system and seating.
6	5	Roof Replacements	**$1,140,000**	$500,000	Replace the roofs on Bell Hall and Ray Morris Hall.

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Capital Maintenance Projects Request FY2023-24
Requested for State Funding

New Priority	June Priority	Project	New Project Cost	June Cost	Project Description
7	4	Utility Infrastructure Upgrades 1.1	**$3,030,000**	$2,430,000	Electrical service upgrades
8	Not included	Utility Infrastructure Upgrades 1.2	**$1,940,000**	$0	Replacement and repairs of utilities campus-wide
9	8	University Services Building Mechanical Upgrades	**$1,120,000**	$886,000	Replace the air handler, VAV boxes and piping.
Not included	6 & 9	Foundation Hall Upgrades 1.1&1.2	$0	$7,110,000	Lighting, finishes, reconfigurations for front end of building
Not included	7	Bryan Fine Arts Building Exterior Repairs	$0	$1,200,000	Provide exterior masonry repairs including north patio and retaining wall.
Not included	3	Intramural Field Replace Lights	$0	$2,000,000	Field lighting
		Total Requested	**$16,055,000**	**$16,169,381**	

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Agenda Item Summary

Date: December 1, 2022

Agenda Item: Composite Financial Index

☐ **Review**   ☐ **Action**   ☒ **No action required**

PRESENTERS: Dr. Claire Stinson, Vice President for Planning & Finance

PURPOSE & KEY POINTS: Update on financial outcome for Fiscal Year 2021-22.

4.1

Agenda Item Summary

Date: December 2, 2021

Agenda Item: FY2022-23 Revised Budget/Organizational Chart

☐ **Review**   ☒ **Action**   ☐ **No action required**

PRESENTERS: Dr. Claire Stinson, Vice President for Planning & Finance

PURPOSE & KEY POINTS: Review recommendation and approval of Tennessee Tech's 2022-23 Revised Budget and Organizational Chart.

Revised Budget reflects revenue based on fall semester actual enrollments. Special fees unspent from FY2021-22 and other encumbrances from FY2021-22 are included in the revised budget as one-time expense budget increase.

The revised budget includes budget line items for Educational & General (E & G) revenues and expenses for the University's major operations and Auxiliary Enterprise revenues and expenses. This budget also includes mandatory transfers which are resources set aside to service debt for the fiscal year. Non-mandatory transfers included in the budget are resources transferred to reserves and plant funds at the University's discretion.

Definitions for Budget Cycles, Revenue Categories, Expense Categories

4.2

Actuals FY2021-22: This data reflects how the FY2021-22 ended. This data is used for preparation of the University's financial statements. Unspent resources at end-of-year are either included in the beginning fund balance of the Revised Budget or, in the case of special fees and research related obligations, re-budgeted into the departments.

Proposed Budget FY2022-23: The Proposed Budget is our original budget (operating plan) to begin the new fiscal year. This budget includes only recurring revenue and expense items. The Proposed Budget revenues are based on estimated fall semester enrollments and generally include any new State appropriations the University expects to receive. New cost increases that create ongoing obligations are included in the Proposed Budget.

Revised Budget FY2022-23: This budget is the first revision to our Proposed Budget. The Revised Budget reflects revenue estimates based on actual fall enrollments. Expense budgets are increased for any unspent resources (carryforwards) from the prior fiscal year, FY2021-22.

Educational & General (E&G) Revenues: These are unrestricted resources that can be used for anything within the mission of the University.

E&G Expenses by Budget Category: Expenses are shown by functional classification. These categories show how expenses are budgeted for the different functions of the University.

E&G Expenses by Natural Classification: Expenses are shown by type of expenses, e.g., salaries and wages, fringe benefits, travel, etc.

Auxiliary Budget Summary: Budgets for activities such as housing, foodservices, recreation center, that must operate at a profit or at least breakeven point.

Mandatory Transfers: Transfers for annual debt service obligations.

Non-Mandatory Transfers: Transfers for University funded capital projects (Unexpended Plant) and renewal and replacement funds for major maintenance and equipment replacement including technology hardware and software.

4.3

E&G Revenues - Actuals FY2021-22, Proposed Budget FY2022-23, & Revised Budget FY2022-23

	Actuals FY2021-22	Proposed Budget FY2022-23	Revised Budget FY2022-23
Tuition and Fees	$ 100,912,809	$ 100,785,900	$ 100,544,000
State Appropriations	$ 64,531,413	$ 78,863,600	$ 79,920,600
Contracts and IDC	$ 2,620,562	$ 1,409,300	$ 1,559,300
Sales and Services Educational	$ 791,032	$ 933,700	$ 917,500
Other Activities (incl Athletic Student Fee)	$ 16,030,857	$ 10,455,400	$ 10,472,500
Total Revenues	$ 184,886,673	$ 192,447,900	$ 193,413,900

E&G Expenses by Budget Category - Actuals FY2021-22, Proposed Budget FY2022-23, & Revised Budget FY2022-23

	Actuals FY2021-22	Proposed Budget FY2022-23	Revised Budget FY2022-23
Instruction	$ 74,401,456	$ 86,981,400	$ 87,781,500
Research	$ 2,274,583	$ 2,750,800	$ 6,972,900
Public Service	$ 2,042,070	$ 2,056,600	$ 3,297,200
Academic Service	$ 12,936,251	$ 14,308,000	$ 17,641,500
Student Services	$ 21,390,410	$ 22,823,600	$ 23,825,400
Institutional Support	$ 16,895,889	$ 19,915,000	$ 18,128,200
Oper. & Maint. of Plant	$ 14,855,807	$ 16,319,900	$ 18,274,500
Scholarships & Fellowships	$ 16,000,095	$ 17,536,300	$ 19,154,100
Total Expenses	$ 160,796,561	$ 182,691,600	$ 195,075,300

E&G Expenses by Natural Classification - Actuals FY2021-22, Proposed Budget FY2022-23, & Revised Budget FY2022-23

	Actuals FY2021-22	Proposed Budget FY2022-23	Revised Budget FY2022-23
Salaries and Wages	$ 80,452,871	$ 85,226,469	$ 87,736,836
Fringe Benefits	$ 33,210,644	$ 37,031,366	$ 33,379,955
Travel	$ 1,835,680	$ 1,584,615	$ 2,075,850
Operating & Utilities	$ 27,098,850	$ 40,394,442	$ 51,385,055
Scholarships & Fellowships	$ 16,563,879	$ 18,093,623	$ 19,682,220
Capital	$ 1,634,637	$ 361,094	$ 815,104
Total Expenses	$ 160,796,561	$ 182,691,609	$ 195,075,020

E&G Unrestricted Budget Summary - Actuals FY2021-22, Proposed Budget FY2022-23, & Revised Budget FY2022-23

	Actuals FY2021-22	Proposed Budget FY2022-23	Revised Budget FY2022-23
Beginning Fund Balance	$ 29,185,267	$ 8,426,847	$ 23,687,377
E&G Revenues	$ 184,886,673	$ 192,447,900	193,413,900
E&G Expenses	$ (160,796,561)	$ (182,691,600)	(195,075,300)
Mandatory Transfers	$ (3,533,810)	$ (3,668,700)	(3,668,700)
Non-mandatory Transfers	$ (26,054,072)	$ (5,715,300)	(10,703,100)
Ending Fund Balance	$ 23,687,497	$ 8,799,147	7,654,177

Auxiliary Budget Summary - Actuals FY2021-22, Proposed Budget FY2022-23, & Revised Budget FY2022-23

	Actuals FY2021-22	Proposed Budget FY2022-23	Revised Budget FY2022-23
Beginning Fund Balance	$ 1,509,166	$ 1,509,166	1,347,548
Aux Revenues	$ 20,592,510	$ 18,577,600	19,246,300
Aux Expenses	$ (8,347,854)	$ (8,719,100)	(8,922,400)
Mandatory Transfers	$ (6,032,150)	$ (6,557,400)	(7,062,900)
Non-mandatory Transfers	$ (6,374,129)	$ (3,354,200)	(2,927,800)
Ending Fund Balance	$ 1,347,543	$ 1,456,066	1,680,748

TOTAL Budget Summary - Actuals FY2021-22, Proposed Budget FY2022-23, & Revised Budget FY2022-23

	Actuals FY2021-22	Proposed Budget FY2022-23	Revised Budget FY2022-23
Beginning Fund Balance	$ 30,694,433	$ 9,936,013	25,034,925
Total Revenues	$ 205,479,183	$ 211,025,500	212,660,200
Total Expenses	$ (169,144,415)	$ (191,410,700)	(203,997,700)
Mandatory Transfers	$ (9,565,960)	$ (10,226,100)	(10,731,600)
Non-mandatory Transfers	$ (32,428,201)	$ (9,069,500)	(13,630,900)
Ending Fund Balance	$ 25,035,040	$ 10,255,213	9,334,925

4.3

Breakdown of E&G Fund Balance - Actuals FY2021-22, Proposed Budget FY2022-23, & Revised Budget FY2022-23

Actuals FY2021-22	Beginning Fund Balance	Ending Fund Balance
Allocation for Encumbrances	$ 1,470,875	$ 1,158,441
Allocation for Working Capital	$ 3,863,414	$ 3,786,177
Special Allocations*	$ 23,850,975	$ 18,742,873
Unallocated Balance	$ -	$ -
Total E&G Fund Balance	**$ 29,185,264**	**$ 23,687,491**
*2% to 5% Reserve of E&G Revenues	$ 20,245,349	$ 15,432,524
*Student Activity Fee	$ -	$ -
*Technology Access Fee	$ 1,723,100	$ 2,499,662
*Specialized Academic Fee	$ 1,882,526	$ 810,687
Total Special Allocations	$ 23,850,975	$ 18,742,873

Proposed Budget FY2022-23	Beginning Fund Balance	Ending Fund Balance
Allocation for Encumbrances	$ -	$ -
Allocation for Working Capital	$ 3,863,417	$ 3,863,417
Special Allocations*	$ 4,563,430	$ 4,935,954
Unallocated Balance (due to rounding)	$ -	$ -
Total E&G Fund Balance	**$ 8,426,847**	**$ 8,799,371**
*2% to 5% Reserve of E&G Revenues	$ 4,563,430	$ 4,935,954
*Student Activity Fee	$ -	$ -
*Technology Access Fee	$ -	$ -
*Specialized Academic Fee	$ -	$ -
Total Special Allocations	$ 4,563,430	$ 4,935,954

Revised Budget FY2022-23	Beginning Fund Balance	Ending Fund Balance
Allocation for Encumbrances	$ 1,158,441	$ -
Allocation for Working Capital	$ 3,786,177	$ 3,786,177
Special Allocations*	$ 18,742,760	$ 3,868,278
Unallocated Balance (due to rounding)	$ -	$ -
Total E&G Fund Balance	**$ 23,687,378**	**$ 7,654,455**
*2% to 5% Reserve of E&G Revenues	$ 15,432,411	$ 3,868,278
*Student Activity Fee	$ -	$ -
*Technology Access Fee	$ 2,499,662	$ -
*Specialized Academic Fee	$ 810,687	$ -
Total Special Allocations	$ 18,742,760	$ 3,868,278

4.3

E&G Transfers - Actuals FY2021-22, Proposed Budget FY2022-23, & Revised Budget FY2022-23

	Actuals FY2021-22	Proposed Budget FY2022-23	Revised Budget FY2022-23
Debt Service-Mandatory			
Debt Service Perf Contract	$ 486,649	$ 491,074	$ 491,074
Debt Service Fitness Center	$ 1,604,409	$ 1,670,000	$ 1,670,000
Debt Service Parking	$ 815,816	$ 860,935	$ 860,935
Debt Serice Lab Science Bldg	$ 626,936	$ 646,673	$ 646,673
Debt Service Univ Center	$ -	$ -	$ -
Debt Service Eblen Center	$ -	$ -	$ -
Total Debt Service Transfers	$ 3,533,810	$ 3,668,682	$ 3,668,682
Unexpended Plant			
Debt Service Fitness Center	$ 316,126	$ 198,480	$ 198,480
Debt Service Univ Center	$ 84,979	$ 89,460	$ 89,460
Debt Service Eblen Center	$ 186,955	$ 196,810	$ 196,810
Facilities Development (fee)	$ 240,352	$ 253,615	$ 173,365
Landscaping	$ 380,000	$ 325,000	$ 325,000
Parking and Transportation	$ 293,734	$ 311,315	$ 385,693
Various Academic Buildings	$ 11,414,577	$ 345,890	$ 345,890
Extraordinary Maintenance	$ 715,000	$ 560,000	$ 560,000
RUC Office Renovation	$ 145,000		
Loafing Barn	$ 500,000		
Engineering Lab Upgrade	$ 490,000		
Wind Tunnel			$ 3,500,000
Craft Center Maintenance			$ 2,840,000
Small Projects	$ 781,035	$ 90,000	$ 304,928
Total Unexp Plant Transfers	$ 15,547,758	$ 2,370,570	$ 8,919,626
Renewal and Replacement			
IT Computer Equipment	$ 752,110	$ 577,110	$ 577,110
Electronic Upgrades	$ 786,547	$ 350,000	$ 350,000
Equipment - Departments	$ 407,947	$ 642,180	$ 504,537
ERP Replacement	$ 341,382	$ 341,382	$ 351,736
Athletics	$ 682,015		
Anticipated Early Graduation	$ 1,133,986	$ 1,133,986	$ -
Reserves	$ 6,402,327	$ 300,000	$ -
Total R&R Transfers	$ 10,506,314	$ 3,344,658	$ 1,783,383
GRAND TOTAL All Transfers	$ 29,587,882	$ 9,383,910	$ 14,371,691

4.3

Reserves - Revised Budget FY2022-23 (bal. at 6-30-22)

	Unexpended Plant	
Land Purchases	$	2,622,958
Engineering building bid over budget plus required match	$	12,000,000
Innovation Residence Hall	$	13,161,988
Residence halls roofing	$	2,547,540
Parking and paving	$	4,794,342
Repairs/replacement of athletic facilities fields	$	5,160,011
Construction of new west stadium	$	6,900,000
Repairs/major maintenance to academic buildings	$	6,694,509
Updating student spaces - Roden University Center	$	2,689,962
Small projects	$	2,152,761
	$	58,724,071

	Renewal and Replacement	
Auxiliary - Housing	$	14,541,338
Auxiliary - Other	$	7,564,217
Computer Center	$	4,172,136
Technology Update	$	2,230,587
Telecommunication	$	721,269
Printing & Photo Services	$	209,476
Motor Pool	$	418,323
Craft Center R&R	$	630,916
Athletics	$	816,635
Strat. Invest. Main & Beauty	$	1,540,368
Anticipated Early Grad Change	$	2,267,972
HERFF	$	15,791,853
ERP Replacement	$	6,708,127
Departmental R&R	$	138,350
University Reserve	$	8,765,879
Total R&R	$	66,517,446
GRAND TOTAL All Reserves	**$**	**125,241,517**

4.3

Budget Summary and Budget Analysis

The complete Budget Summary and Budget Analysis documents can be viewed on the Budget, Planning, Reporting and Analysis website:

Direct Link to document – **Summary**:
https://www.tntech.edu/businessoffice/pdf/budget/Final-October-Summary-Book_FY2022-2023.pdf

Direct Link to document – **Analysis**:
https://www.tntech.edu/businessoffice/pdf/budget/Final-October-Analysis-Book_FY2022-2023.pdf

Historical Budget documents (FY2011 through FY2022):

Budget **Summary** and **Analysis**: https://www.tntech.edu/businessoffice/bpra/budgetary-info.php
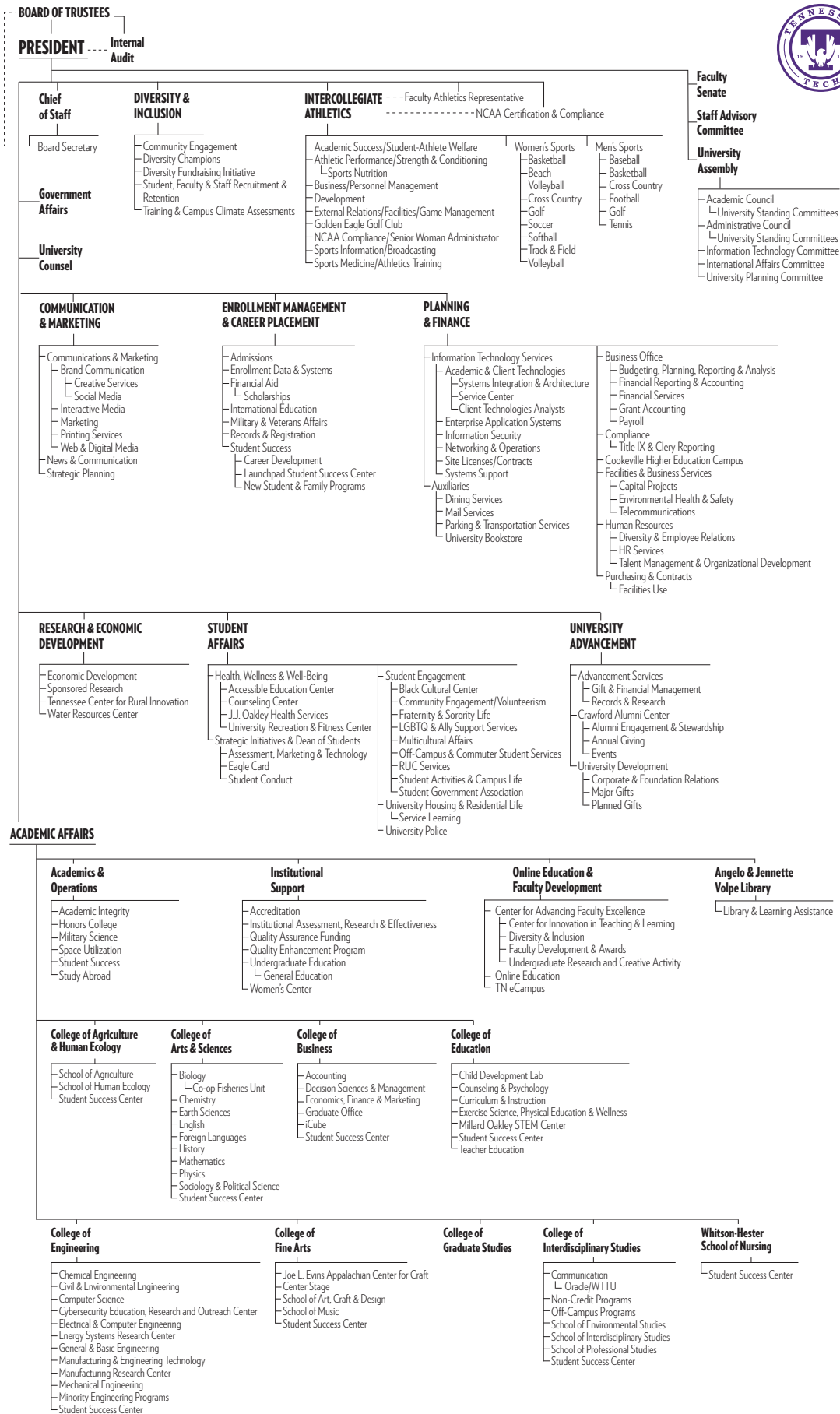
4.4

Office of the President

TENNESSEE TECH

October 24, 2022

Submitting on behalf of Tennessee Tech University (TTU) a crosswalk of organizational changes/updates with a proposed implementation date of January 1, 2023, as follows:

Academic Affairs

- Add Child Development Lab to College of Education
- Add Center Stage to College of Fine Arts

Dr. Philip B. Oldham, President

4.4

BOARD OF TRUSTEES

PRESIDENT — Internal Audit

TENNESSEE TECH 1915

Chief of Staff
- Board Secretary

Government Affairs

University Counsel

DIVERSITY & INCLUSION
- Community Engagement
- Diversity Champions
- Diversity Fundraising Initiative
- Student, Faculty & Staff Recruitment & Retention
- Training & Campus Climate Assessments

INTERCOLLEGIATE ATHLETICS — Faculty Athletics Representative
— NCAA Certification & Compliance
- Academic Success/Student-Athlete Welfare
- Athletic Performance/Strength & Conditioning
  - Sports Nutrition
- Business/Personnel Management
- Development
- External Relations/Facilities/Game Management
- Golden Eagle Golf Club
- NCAA Compliance/Senior Woman Administrator
- Sports Information/Broadcasting
- Sports Medicine/Athletics Training

Women's Sports
- Basketball
- Beach Volleyball
- Cross Country
- Golf
- Soccer
- Softball
- Track & Field
- Volleyball

Men's Sports
- Baseball
- Basketball
- Cross Country
- Football
- Golf
- Tennis

Faculty Senate

Staff Advisory Committee

University Assembly
- Academic Council
  - University Standing Committees
- Administrative Council
  - University Standing Committees
- Information Technology Committee
- International Affairs Committee
- University Planning Committee

COMMUNICATION & MARKETING
- Communications & Marketing
  - Brand Communication
    - Creative Services
    - Social Media
  - Interactive Media
  - Marketing
  - Printing Services
  - Web & Digital Media
- News & Communication
- Strategic Planning

ENROLLMENT MANAGEMENT & CAREER PLACEMENT
- Admissions
- Enrollment Data & Systems
- Financial Aid
  - Scholarships
- International Education
- Military & Veterans Affairs
- Records & Registration
- Student Success
  - Career Development
  - Launchpad Student Success Center
  - New Student & Family Programs

PLANNING & FINANCE
- Information Technology Services
  - Academic & Client Technologies
    - Systems Integration & Architecture
    - Service Center
    - Client Technologies Analysts
  - Enterprise Application Systems
  - Information Security
  - Networking & Operations
  - Site Licenses/Contracts
  - Systems Support
- Auxiliaries
  - Dining Services
  - Mail Services
  - Parking & Transportation Services
  - University Bookstore

- Business Office
  - Budgeting, Planning, Reporting & Analysis
  - Financial Reporting & Accounting
  - Financial Services
  - Grant Accounting
  - Payroll
- Compliance
  - Title IX & Clery Reporting
- Cookeville Higher Education Campus
- Facilities & Business Services
  - Capital Projects
  - Environmental Health & Safety
  - Telecommunications
- Human Resources
  - Diversity & Employee Relations
  - HR Services
  - Talent Management & Organizational Development
- Purchasing & Contracts
  - Facilities Use

RESEARCH & ECONOMIC DEVELOPMENT
- Economic Development
- Sponsored Research
- Tennessee Center for Rural Innovation
- Water Resources Center

STUDENT AFFAIRS
- Health, Wellness & Well-Being
  - Accessible Education Center
  - Counseling Center
  - J.J. Oakley Health Services
  - University Recreation & Fitness Center
- Strategic Initiatives & Dean of Students
  - Assessment, Marketing & Technology
  - Eagle Card
  - Student Conduct

- Student Engagement
  - Black Cultural Center
  - Community Engagement/Volunteerism
  - Fraternity & Sorority Life
  - LGBTQ & Ally Support Services
  - Multicultural Affairs
  - Off-Campus & Commuter Student Services
  - RUC Services
  - Student Activities & Campus Life
  - Student Government Association
- University Housing & Residential Life
  - Service Learning
- University Police

UNIVERSITY ADVANCEMENT
- Advancement Services
  - Gift & Financial Management
  - Records & Research
- Crawford Alumni Center
  - Alumni Engagement & Stewardship
  - Annual Giving
  - Events
- University Development
  - Corporate & Foundation Relations
  - Major Gifts
  - Planned Gifts

ACADEMIC AFFAIRS

Academics & Operations
- Academic Integrity
- Honors College
- Military Science
- Space Utilization
- Student Success
- Study Abroad

Institutional Support
- Accreditation
- Institutional Assessment, Research & Effectiveness
- Quality Assurance Funding
- Quality Enhancement Program
- Undergraduate Education
  - General Education
- Women's Center

Online Education & Faculty Development
- Center for Advancing Faculty Excellence
  - Center for Innovation in Teaching & Learning
  - Diversity & Inclusion
  - Faculty Development & Awards
  - Undergraduate Research and Creative Activity
- Online Education
- TN eCampus

Angelo & Jennette Volpe Library
- Library & Learning Assistance

College of Agriculture & Human Ecology
- School of Agriculture
- School of Human Ecology
- Student Success Center

College of Arts & Sciences
- Biology
  - Co-op Fisheries Unit
- Chemistry
- Earth Sciences
- English
- Foreign Languages
- History
- Mathematics
- Physics
- Sociology & Political Science
- Student Success Center

College of Business
- Accounting
- Decision Sciences & Management
- Economics, Finance & Marketing
- Graduate Office
- iCube
- Student Success Center

College of Education
- Child Development Lab
- Counseling & Psychology
- Curriculum & Instruction
- Exercise Science, Physical Education & Wellness
- Millard Oakley STEM Center
- Student Success Center
- Teacher Education

College of Engineering
- Chemical Engineering
- Civil & Environmental Engineering
- Computer Science
- Cybersecurity Education, Research and Outreach Center
- Electrical & Computer Engineering
- Energy Systems Research Center
- General & Basic Engineering
- Manufacturing & Engineering Technology
- Manufacturing Research Center
- Mechanical Engineering
- Minority Engineering Programs
- Student Success Center

College of Fine Arts
- Joe L. Evins Appalachian Center for Craft
- Center Stage
- School of Art, Craft & Design
- School of Music
- Student Success Center

College of Graduate Studies

College of Interdisciplinary Studies
- Communication
  - Oracle/WTTU
- Non-Credit Programs
- Off-Campus Programs
- School of Environmental Studies
- School of Interdisciplinary Studies
- School of Professional Studies
- Student Success Center

Whitson-Hester School of Nursing
- Student Success Center

Jan. 1, 2023

5.1

Agenda Item Summary

Date: December 1, 2022

Agenda Item: Tuition Transparency Act Report (T.C.A. § 49-7-1604)

☐ **Review**   ☒ **Action**   ☐ **No action required**

PRESENTERS: Dr. Claire Stinson, Vice President for Planning & Finance

PURPOSE & KEY POINTS: By February 1 of each year, the Board is required to provide a report to the General Assembly with information regarding expenditures of revenues derived from any tuition and fees increase in the previous full academic year. The report must include how revenues were used, the effect on student financial aid, and the effect on the average total cost of attendance per student.

5.2

State of Tennessee
2018 Public Acts, Chapter 614
T.C.A § 49-7-1604

By February 1 of each year, each governing board shall provide a report to the office of legislative budget analysis, for distribution to the general assembly, with information regarding expenditures of revenues derived from any tuition and fees increase in the previous full academic year. The report shall include how revenues were used, the effect on student financial aid, and the effect on the average total cost of attendance per student.

Report Based on FY 2021-22 Financial Data

Tuition increase of 1.99% = $1,290,560	
Effect on Average Cost of Attendance per student = $90 per semester	
Effect on Student Financial Aid = None	
Use of Revenues	**Dollars**
Elimination of Specialized Academic Course Fees (SACF) attached to courses in College of Arts & Sciences, College of Ag & Human Ecology, College of Education and College of Fine Arts. Revenues from tuition increase were used to support budgets for programs effected by the fee elimination.	$1,290,560

Mandatory Fees increase of 0.31% = $30,286	
Effect on Average Cost of Attendance per student = $2 per semester	
Effect on Student Financial Aid = None	
Revenue was used to provide students with enhanced mental health and wellness support.	$30,286

5.3

State of Tennessee

PUBLIC CHAPTER NO. 614

SENATE BILL NO. 1665

By Dickerson, Gresham, Yarbro

Substituted for: House Bill No. 1684

By Smith, Ragan, Daniel, Moody, Hardaway, Terry, Towns

AN ACT to amend Tennessee Code Annotated, Title 49, Chapter 11; Title 49, Chapter 7; Title 49, Chapter 8 and Title 49, Chapter 9, relative to higher education.

BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF TENNESSEE:

SECTION 1. Tennessee Code Annotated, Title 49, Chapter 7, is amended by adding the following language as a new part:

49-7-1601.

This part shall be known and may be cited as the "Tuition Transparency and Accountability Act."

49-7-1602.

As used in this part:

(1) "Board" means the trustees of the University of Tennessee or a state university board, as applicable;

(2) "Cost of attendance" means the combined cost of tuition, mandatory fees, room and board, books, and other educational expenses as determined by the financial aid office of the postsecondary institution;

(3) "Predictive cost estimate" means a non-binding estimated cost of attending an undergraduate program at the postsecondary institution based on a student's chosen field of study over a four-year period. A predictive cost estimate may include, but is not limited to, potential tuition and mandatory fee increases, projected increases in tuition based on a student's chosen field of study, and historical trend data; and

(4) "Tuition and mandatory fees" means the charges imposed to attend the relevant institution of higher education as an in-state undergraduate student and all fees required as a condition of enrollment as determined by the board. "Tuition and mandatory fees" does not include fees charged to out-of-state students by institutions of higher education, room and board, or other non-mandatory fees and charges.

49-7-1603.

(a) At least fifteen (15) days prior to holding a meeting to adopt an increase in tuition and mandatory fees, a board shall give public notice of the proposed tuition and mandatory fee increase as an action item on the board's meeting agenda. Individuals shall be permitted to provide comments during the fifteen-day period. The public notice of the proposed tuition and mandatory fee increase shall, at a minimum, include:

(1) An explanation for the proposed tuition and mandatory fee increase;

(2) A statement specifying the purposes for which revenue derived from the tuition and mandatory fee increase will be used; and

SB 1665

5.3

       (3) A description of the efforts to mitigate the effect of the tuition and mandatory fee increase on students.

(b)(1) By January 1, 2019, each board shall develop a list of factors that shall be considered when developing recommendations to increase tuition and mandatory fees. The factors shall include, at a minimum, the level of state support; total cost of attendance; and efforts to mitigate the financial effect on students.

       (2) Each state university and each campus in the University of Tennessee system shall post on its website a summary of the recommendations pursuant to subdivision (b)(1).

49-7-1604.

By February 1 of each year, each governing board shall provide a report to the office of legislative budget analysis, for distribution to the general assembly, with information regarding expenditures of revenues derived from any tuition and fees increase in the previous full academic year. The report shall include how revenues were used, the effect on student financial aid, and the effect on the average total cost of attendance per student.

49-7-1605.

Beginning August 1, 2019, each state university and each campus in the University of Tennessee system shall provide, with a student's letter of acceptance, a predictive cost estimate for students applying for undergraduate degree programs for the 2020-2021 academic year and for academic years thereafter.

SECTION 2. This act shall take effect July 1, 2018, the public welfare requiring it.

2

5.3

SENATE BILL NO. <u>**1665**</u>

PASSED: <u>**March 19, 2018**</u>

RANDY McNALLY
SPEAKER OF THE SENATE

BETH HARWELL, *SPEAKER*
HOUSE OF REPRESENTATIVES

APPROVED this <u>2nd</u> **day of** <u>April</u> **2018**

BILL HASLAM, *GOVERNOR*

6.1

Agenda Item Summary

Date: December 1, 2022

Agenda Item: TTU Policy 537 (Naming Buildings, Facilities and Organizational Units)

☐ **Review**   ☒ **Action**   ☐ **No action required**

PRESENTERS: Dr. Claire Stinson, Vice President for Planning & Finance

PURPOSE & KEY POINTS: Revision to policy to address when an existing named building is demolished and replaced with a new building or demolished and not replaced with a new building.

Tennessee Tech University
Policy No. 537

6.2

Naming Buildings, Facilities and Organizational Units

Effective Date: January 1, 2020

Policy No: 537
Policy Name: Naming Buildings, Facilities and Organizational Units
Revised Date: January 1, 2021; January 1, 2023

6.2

I.   Purpose

The purpose of this policy is to establish the criteria and process for naming of Tennessee Tech Buildings, Facilities, and Organizational Units.

II.   Review

This policy will be reviewed every two (2) years or whenever circumstances require review, whichever is earlier, by the Associate Vice President for Business and Fiscal Affairs in consultation with the Vice President for Planning and Finance, with recommendations for revision presented to the Administrative Council, University Assembly and Board of Trustees.

III.   Scope

The scope of this policy is limited to Tennessee Tech Facilities that are owned by the State of Tennessee and Organizational Units controlled by Tennessee Tech. The policy does not extend to facilities leased by Tennessee Tech or owned by the TTU Foundation.

IV.   Definitions

   A. Buildings: complete building structures residing on or contained within Tennessee Tech's campus

   B. Building Components: lab, classroom, conference room, office space, etc. contained within a building.

   C. Facilities: all physical areas not contained within the Buildings definition including, but not limited to, parking lots, streets, grassy areas, water features, athletic fields, etc.

   D. Organizational Units: units on campus with a defined mission including Colleges, Schools, Departments, Centers, etc.

V.   Policy

   A. The naming of Buildings, Facilities, and Organizational Units of Tennessee Tech for individuals or groups who have made significant contributions to society or to Tennessee Tech is an honored tradition of higher education.

   B. The prerogative and privilege of the naming of Buildings, Facilities, and Organizational Units of Tennessee Tech is vested in the Board of Trustees.

1

C. The Board of Trustees delegates the authority to name identifiable building components of Buildings and Facilities to the President, subject to the criteria and process set forth below.

D. In general, a naming opportunity is reserved for individuals and groups who must have made a significant contribution to the field of education, government, science, or human betterment.

6.2

1. To preserve the integrity of the naming process at Tennessee Tech, this honor must be reserved for individuals of recognized accomplishment and character; no Building, Facility, or Organizational Unit may bear the name of an individual convicted of a felony.

2. No current employee of Tennessee Tech shall be eligible for consideration.

3. With respect to naming opportunities on Tennessee Tech's campus, special consideration shall be given to:

   a. The historical significance of the contribution of the individual or group to Tennessee Tech;

   b. Individuals that signify an association with either the history of the university or the nation or with the advancement of knowledge and learning that will remain memorable long beyond the lifetime of those who propose the name; and

   c. Any financial contribution of the individual or group to the institution as outlined in the TTU Foundation's Naming Guidelines document.

4. In all cases, naming rights are considered to be in effect for the duration of the effective and typical useful life of the physical building, space, or unit, and not in perpetuity. For named Organizational Units, the naming will remain until such time that the Organizational Unit is dissolved.
   a. When an existing named building is demolished and replaced with a new building, the Board of Trustees may elect to commemorate the originally named individual(s) in an appropriate manner upon the recommendation by the President.
   a.b. When an existing named building is demolished and not replaced with a new building, the Board of Trustees may elect to commemorate the originally named individual(s) at another appropriate location on campus upon the recommendation by the President.

5. The Board of Trustees may remove a name associated with any physical building, space or unit at any time if the naming financial commitment

2

remains unfulfilled or it is in the best interests of Tennessee Tech or of the donor to do so.

6. At the request of a namesake, the President has authority to remove an individual's name from a facility after providing notice to the Board of Trustees.

6.2

E. Process

1. Tennessee Tech's President shall charge a committee to consider and make recommendations for the naming.

2. The committee may be comprised of students, faculty, staff, and administrative representatives. Other representatives of the campus community may serve on the committee, as deemed appropriate by the President.

3. The committee shall consider all suggested naming opportunities that satisfy the criteria cited in this policy. Any individual or group associated with Tennessee Tech may suggest a name for consideration by the committee.

4. The committee shall submit a report to the President, which shall include a recommendation for the naming, documentation of all suggestions considered, and justification of its recommendation.

5. The President shall submit his/her recommendation, the committee's report, and any additional supporting information to the Board of Trustees for appropriate action, if any.

6. Tennessee Tech will not publicize the recommendation for naming until the Board of Trustees has acted on the recommendation.

F. Building Plaques

1. Tennessee Tech may affix a building plaque to a new or newly renovated building or facility.

2. All building plaques must comply with Board of Trustee guidelines adopted pursuant to this policy and State Building Commission policy on building plaques.

G. Dedication Ceremony and Plaque

3

6.2

1. Upon approval of the naming by the Board of Trustees, Tennessee Tech may plan and conduct an appropriate dedication ceremony and/or erect a dedication plaque or comparable marking.

2. Tennessee Tech may use a dedication plaque that is separate from the building plaque required by state laws and regulations.

3. In addition to the individual or group for whom the Building, Component, Facility, or Organizational Unit is named, the dedication plaque should identify Tennessee Tech's President and the Chair of the Board of Trustees at the time the naming was approved.

VI.   Approval of exceptions

1. The Chair of the Board of Trustees or his/her designee has the authority to approve exceptions in instances of unusual circumstances.

2. All such actions should be properly documented and shared with all Trustees at the next Board meeting.

VII.   Interpretation

The Chair of the Board of Trustees or his/her designee in consultation with the President has the final authority to interpret the terms of this policy.

VIII.   Citation of Authority for Policy

T.C.A. § 49-8-203(a) (4)

Approved by:

Administrative Council: November 6, 2019; November 4, 2020

University Assembly: November 20, 2019; November 18, 2020

Board of Trustees: December 5, 2019; December 1, 2020;

President on November 11, 2022 pursuant to Policy 101, Section VII.A.

4

Tennessee Tech University
Policy No. 537

Naming Buildings, Facilities and Organizational Units

Effective Date: January 1, 2020

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Approved by:

Administrative Council: November 6, 2019; November 4, 2020

University Assembly: November 20, 2019; November 18, 2020

Board of Trustees: December 5, 2019; December 1, 2020;

President on November 11, 2022 pursuant to Policy 101, Section VII.A.

4

Agenda Item Summary

7.1

Date: 12/1/2022

Agenda Item: Approval of 2023 Audit Plan

☐ **Review**   ☒ **Action**   ☐ **No action required**

_____

__

PRESENTER(S): Deanna Metts, Director of Internal Audit

PURPOSE & KEY POINTS:

An audit plan for calendar year 2023 is being provided to the Audit Committee for review and approval as required by the Tennessee Tech University Audit Committee Charter.

The plan lists each significant activity to be carried out in the Internal Audit department and tells the type of activity and functional area involved.

Each departmentally chosen audit on the schedule was selected using a model that considered six points:

1. Prior audit results (time since last internal audit, prior findings, state audit)
2. Internal controls in the area
3. Changes in the unit (key personnel, program, controls, volume, accounting system)
4. Size of the unit with regard to revenue collected, expenses, or number of transactions processed
5. Sensitivity of the unit (government regulation, political/legal climate, inherent, campus)
6. Management's concerns

A 10-year list of audit, review, investigation, and special project reports completed by Internal Audit has been included. This list includes the primary and secondary functional areas covered by each item along with the date each report was issued.

Tennessee Tech University
Internal Audit Plan
January 1, 2023 through December 31, 2023

Overall/ Specific Type	Area	Name	Estimated Start	Estimated End
R/A	FM	Inventory Observations 6-30-23	Apr 2023	Aug 2023
R/A	FM	President's Office Expenses 2022-23	Jan 2023	Sep 2023
R/A	AT	Student Assist/Acad Enhance Funds 2022-23	Jun 2023	Aug 2023
R/V	GE	Quality Assurance Review - External Validation	Jan 2023	Jun 2023
D/A	PP	Emergency Systems & Procedures	Jan 2023	Jun 2023
D/A	FM	Parking	Jul 2023	Oct 2023
D/A	PP	Lab Safety/Hazardous Materials Inventory	Sep 2023	Dec 2023
D/A	IT	Technology Access Fees	Oct 2023	Dec 2023
D/A	FM	Procard Audits	Jan 2023	Dec 2023
D/S	GE	Risk Assessment Internal Controls	Jul 2023	Nov 2023
R/I	GE	Investigations	Jan 2023	Dec 2023
R/F	FM	Follow-up to 2021-22 State Audit	Jan 2023	Mar 2023
R/F	GE	Follow-up to Internal Audits	Jan 2023	Dec 2023
R/V	GE	Enterprise-Wide Risk Assessment	Jun 2023	Nov 2023
D/V	RS	Sponsored Program Review(s)	Feb 2023	Jul 2023
D/V	FM	Monthly, Quarterly, and Special Reviews	Jan 2023	Dec 2023
D/V	IT	Coordination with IT External Auditors	Mar 2023	Oct 2023

7.2

Overall Type	Specific Type	
R-Required	A-Audit	F-Follow-up
D-Department Planned	S-Special Request	V-Review
T-In Progress	I-Investigation	C-Consultation

Functional Area	
AD-Advancement	IN-Instruction/Institutional Support
AT-Athletics	IT-Information Technology
EM-Enrollment Management	PP-Facilities/Plant
FM-Financial Management	RS-Research/Sponsored Programs
GE-General/University-wide	SS-Student Services

10-Year List of Reports Completed by Internal Audit
Includes Audits, Reviews, Investigations*, and Special Projects

Functional Areas	
AD-Advancement	**IN**-Instruction/Institutional Support
AT-Athletics	**IT**-Information Technology
EM-Enrollment Management	**PP**-Facilities/Plant
FM-Financial Management	**RS**-Research/Sponsored Programs
GE-General/University-wide	**SS**-Student Services

7.3

Report Name	Year Report Issued	Type	Primary Functional Area	Secondary Functional Areas
#hopestrongeagles Sponsored Program	2018	Audit	RS	SS, FM
Access & Diversity Funds	2015	Audit	EM	FM, IN
Accounts Receivable	2015	Audit	FM	
Clery Act	2017	Audit	GE	SS, FM
Equipment	2015	Audit	FM	
External IT Audit - Overall Gap Analysis	2022	Audit	IT	
Financial Aid	2022	Audit	EM	
Funding Formula - Completion	2014	Audit	EM	
Funding Formula - Progression	2014	Audit	EM	
Funding Formula - Transfers & Other	2015	Audit	EM	RS
Funding Formula - Outcome Measures	2016	Audit	EM	
Gifts in Kind	2013	Audit	AD	AT
Health Services Drug Inventories	2019	Audit	SS	
Inventory Observations 6/30/13	2013	Audit	FM	PP, IN, GE
Inventory Observations 6/30/14	2014	Audit	FM	PP, IN, GE
Inventory Observations 6/30/15	2015	Audit	FM	PP, IN, GE
Inventory Observations 6/30/16	2016	Audit	FM	PP, IN, GE
Inventory Observations 6/30/17	2017	Audit	FM	PP, IN, GE
Inventory Observations 6/30/18	2018	Audit	FM	PP, IN, GE
Inventory Observations 6/30/19	2019	Audit	FM	PP, IN, GE
Inventory Observations 6/30/20	2020	Audit	FM	PP, IN, GE
Inventory Observations 6/30/21	2021	Audit	FM	PP, IN, GE
Inventory Observations 6/30/22	2022	Audit	FM	PP, IN, GE
Laboratory Safety	2014	Audit	PP	IN
Maintenance/Tuition and Related Fees	2018	Audit	FM	
Minors on Campus	2016	Audit	GE	IN, AT, SS
NCAA Student Assistance Fund 2012-13	2013	Audit	AT	
NCAA Student Assistance Fund 2013-14	2014	Audit	AT	
NCAA Student Assistance Fund 2014-15	2015	Audit	AT	
NCAA Student Assistance Fund 2015-16	2016	Audit	AT	

7.3

Report Name	Year Report Issued	Type	Primary Functional Area	Secondary Functional Areas
NCAA Student Assistance Fund 2016-17	2017	Audit	AT	
NCAA Student Assistance Fund 2017-18	2018	Audit	AT	
NCAA Student Assistance Fund 2018-19	2019	Audit	AT	
NCAA Student Asst & Academic Enhancement Funds 19-20	2020	Audit	AT	
NCAA Student Asst & Academic Enhancement Funds 20-21	2021	Audit	AT	
NCAA Student Asst & Academic Enhancement Funds 21-22	2022	Audit	AT	
Off-Campus International Education Programs	2016	Audit	EM	IN
PCI-DSS	2015	Audit	IT	FM
Personnel	2013	Audit	FM	GE
President's Expenses 2016-17	2017	Audit	FM	
President's Expenses 2017-18	2018	Audit	FM	
President's Expenses 2018-19	2019	Audit	FM	
President's Expenses 2019-20	2020	Audit	FM	
President's Expenses 2020-21	2021	Audit	FM	
President's Expenses 2021-22	2022	Audit	FM	
Procard - March-June 2020	2021	Audit	FM	
Procard - 7/1/20 to 12/31/20	2021	Audit	FM	
Procard - 1/1/21 to 6/30/21	2022	Audit	FM	
Procard - 9/1/21 to 12/31/21	2022	Audit	FM	
Scholarships	2019	Audit	EM	AD
Technology Access Fee 2012-13	2013	Audit	IT	FM
Technology Access Fee 2016-17	2018	Audit	IT	FM
Title IX	2017	Audit	GE	FM
Travel	2021	Audit	FM	
University Advancement 2019-20	2021	Audit	AD	FM
University Police Evidence Room Inventory	2019	Audit	SS	
Collapsed Fee Review	2019	Review	FM	
Dining Services Review	2015	Review	FM	GE
Duplicate Bank Account Review	2019	Review	FM	
Facilities Contracts Review	2018	Review	PP	
Fixed Price Contracts Review	2018	Review	RS	
Grocery Purchases Review	2021	Review	FM	
Internal Control Verification Review	2020	Review	IN, AT, RS	
Internal Control Verification Review	2021	Review	GE, SS, AD	
Membership Review	2017	Review	GE	
Procard Review - April-June 2013	2013	Review	FM	
Procard Review - 1 cardholder	2014	Review	FM	

Page 2 of 5

7.3

Report Name	Year Report Issued	Type	Primary Functional Area	Secondary Functional Areas
Procard Review - 11 cardholders	2014	Review	FM	
Procard Review - 2 cardholders	2014	Review	FM	
Procard Review - 34 cardholders	2014	Review	FM	
Procard Review - Aug-Oct 2013	2014	Review	FM	
Procard Review - Nov 2013-Feb 2014	2014	Review	FM	
Procard Review - 14 cardholders	2015	Review	FM	
Procard Review - 15 cardholders	2015	Review	FM	
Procard Review - 30 cardholders	2015	Review	FM	
Procard Review - 42 cardholders	2015	Review	FM	
Procard Review - 54 cardholders	2015	Review	FM	
Procard Review - 75 cardholders	2015	Review	FM	
Procard Review - 89 cardholders	2015	Review	FM	
Procard Review - 93 cardholders	2015	Review	FM	
Procard Review - 134 cardholders	2016	Review	FM	
Procard Review - 138 cardholders	2016	Review	FM	
Procard Review - 16 cardholders	2016	Review	FM	
Procard Review - 28 cardholders	2016	Review	FM	
Procard Review - 37 cardholders	2016	Review	FM	
Procard Review - 38 cardholders	2016	Review	FM	
Procard Review - 54 cardholders	2016	Review	FM	
Procard Review - 65 cardholders	2016	Review	FM	
Procard Review - 13 cardholders	2017	Review	FM	
Procard Review - 23 cardholders	2017	Review	FM	
Procard Review - 26 cardholders	2017	Review	FM	
Procard Review - 31 cardholders	2017	Review	FM	
Procard Review - 40 cardholders	2017	Review	FM	
Procard Review - 7 cardholders	2017	Review	FM	
Procard Review - 21 cardholders	2018	Review	FM	
Procard Review - 22 cardholders	2018	Review	FM	
Procard Review - 28 cardholders	2018	Review	FM	
Procard Review - 46 cardholders	2018	Review	FM	
Procard Review - 59 cardholders	2018	Review	FM	
Procard Review - 68 cardholders	2019	Review	FM	
Procard Review - 43 cardholders	2020	Review	FM	
Procard Review - 56 cardholders	2020	Review	FM	
Procard Review - 66 cardholders	2020	Review	FM	
Promo Items Purchases Review	2021	Review	FM	
Promo Items Purchases Review	2022	Review	FM	
Promo Items Purchases Review	2022	Review	FM	
Salary Review for President	2022	Review	FM	

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Report Name	Year Report Issued	Type	Primary Functional Area	Secondary Functional Areas
Scholarship Eligibility Criteria Review	2019	Review	EM	AD
Sponsored Prog Review - Governor's School - Emerging Tech	2013	Review	RS	FM
Sponsored Prog Review - Governor's School - IT Leadership	2014	Review	RS	FM
Sponsored Prog Review - NASA Space-Bourne Discharge	2015	Review	RS	FM
Sponsored Prog Review - NSF Integrated Computer Exp	2015	Review	RS	FM
Sponsored Prog Review - NSF Rural Teaching (SMaRT)	2016	Review	RS	FM
Sponsored Prog Review - Small Business Development Ctr	2016	Review	RS	FM
Sponsored Prog Review - NSF Expanding Use CAT	2017	Review	RS	FM
Sponsored Prog Review - NSF REU Site Systems	2017	Review	RS	FM
Sponsored Prog Review - THEC Talking Sense	2017	Review	RS	FM
Sponsored Prog Review - TDH Opioid Crisis	2020	Review	RS	FM
Sponsored Prog Review - AWAKEN	2021	Review	RS	FM
Sponsored Prog Review - Vegetated Swales	2021	Review	RS	FM
Technology Purchases Review	2020	Review	IT	FM
Unauthorized Accounts Review	2016	Review	FM	
Investigation 15-04	2015	Investigation	IN	
Investigation 15-05 & 15-06	2015	Investigation	FM	IT
Investigation 16-02	2015	Investigation	FM	IN
Investigation 16-06	2015	Investigation	SS	FM
Investigation 16-10	2016	Investigation	IN	FM
Investigation 17-03	2016	Investigation	IN	FM
Investigation 17-04	2017	Investigation	IT	PP
Investigation 17-05	2017	Investigation	IN	FM
Investigation 17-11	2017	Investigation	IN	FM
Investigation 17-12	2017	Investigation	IN	
Investigation 18-01	2017	Investigation	EM	FM
Investigation 18-02	2017	Investigation	AT	FM
Investigation 18-03	2018	Investigation	IN	FM
Investigation 18-05	2018	Investigation	EM	
Investigation 18-06	2018	Investigation	IN	SS
Investigation 18-07	2018	Investigation	IN	

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Report Name	Year Report Issued	Type	Primary Functional Area	Secondary Functional Areas
Investigation 18-09	2018	Investigation	IN	
Investigation 18-10	2018	Investigation	IN	
Investigation 19-01	2019	Investigation	IN	
Investigation 19-03	2019	Investigation	IN	
Investigation 19-04	2019	Investigation	IN	FM
Investigation 19-05	2019	Investigation	SS	
Investigation 19-06	2020	Investigation	IN	AD
Investigation 19-07	2020	Investigation	IN	
Investigation 20-01	2020	Investigation	FM	
Investigation 20-02	2020	Investigation	EM	
Investigation 20-03 & 20-04	2020	Investigation	FM	
Investigation 20-06	2020	Investigation	FM	
Investigation 20-07	2020	Investigation	PP	
Investigation 20-08 & 20-09	2020	Investigation	IN	
Investigation 20-11	2021	Investigation	IN	
Investigation 20-12	2021	Investigation	AD	FM
Investigation 20-13	2021	Investigation	PP	
Investigation 20-14	2021	Investigation	SS	
Investigation 21-01	2021	Investigation	SS	
Investigation 21-02	2021	Investigation	IN	
Investigation 21-04	2021	Investigation	IN	
Investigation 22-01	2022	Investigation	IN	
Investigation 22-02	2022	Investigation	FM	
Investigation 22-03	2022	Investigation	SS	
Investigation 22-04	2022	Investigation	SS	
Investigation 22-06	2022	Investigation	IN	
Investigation 22-11	2022	Investigation	FM	IN, EM
Data Gathering & Summary for Covid-Related Purchases	2020	Special Project	FM	IT
Data Gathering & Summary for Sunset Audit	2020	Special Project	FM	GE
Department of Education Review	2020	Special Project	EM	

* Only investigations that resulted in a report are listed.