

Emerging Issues in Sponsored Programs

Office of Research
Tennessee Technological University
November 2015

Agenda

- Discuss policies and procedures related to sponsored programs
- Provide insight into the purpose of these policies and procedures
- Answer questions from attendees

Some Significant Changes in Policy and Procedures (OMB Circular 2 CFR 200)

Purpose: To ensure compliance with pre-award and post-award policies and procedures

- Administrative/Clerical Salary Costs
- Computing Devices (Under \$5,000 Unit Cost)
- Equipment
- Participant Support Costs
- Cost share

Some Significant Changes in Policy and Procedures (OMB Circular 2 CFR 200)

Subawards: <https://www.tntech.edu/research/research-compliance/subcontracts>

- Subrecipient and Contractor Determination
- Subrecipient F&A Rates
- Subrecipients as Pass-through Entities
- Subrecipient Risk Assessment
- Subrecipient Monitoring Guidelines

Required Training in Responsible Conduct of Research

Purpose: to fulfill requirements of NSF, NIH, and other funders.

- Additional training is required for research that involves:
 - Human Subjects
 - Animal Care and Use
 - Export Controls
 - Biosafety and Biosecurity
- Training is provided through the CITI Training Modules

Locating Funding Opportunities

Purpose: To identify funding opportunities from current national and international government and private funding sources

- Why PIs should be responsible for identifying funding opportunities?
- Sources include SPIN, Grants.gov, Federal and Foundation Assistance Monitor, Funding Alerts, etc.

Intent to Submit Form

Purpose: To ensure that everyone (Office of Research, Chair, Dean, Center Director, etc.) is aware that a proposal is being developed.

- What does the Office of Research do with the information?
 - Initiate contact with the PI in the form of a follow-up email to coordinate requested services and ensure the PI is aware of internal and external deadlines
 - Check eligibility
 - Identify potential issues
 - Add the deadline to our proposal calendar and coordinate staff calendars accordingly

Intent to Submit Form

How may the Office of Research assist you?

- Review of solicitation/proposal planning
- Budget Development
- Application Forms
- Review proposal to ensure all solicitation guidelines are followed
- Graphics Support
- Proof reading and/or editing
- No assistance requested

Proposal Development Toolkit

- Proposal Development Process
- Budget Justification
- Data Management Plan
- Core Facilities
- NSF Checklist

Internal five-day deadline

Purpose: To ensure that there is time for a formal and thorough review of the proposal

- Stricter grant program application requirements
- A thorough review increases the possibility of successful funding (or at least decreases the chances of the proposal being returned without review)
- What happens if the internal deadline is not met?

Final Thoughts

- Shared Goal: Submitting the strongest proposal that has the highest possibility of being selected for funding
- Team Approach: PI and project team along with research administrators working together to achieve the shared goal

Contact us early and often:

research@tntech.edu

Questions?
