# Research 101 Workshop: Best Practices in Proposal

**Development** 











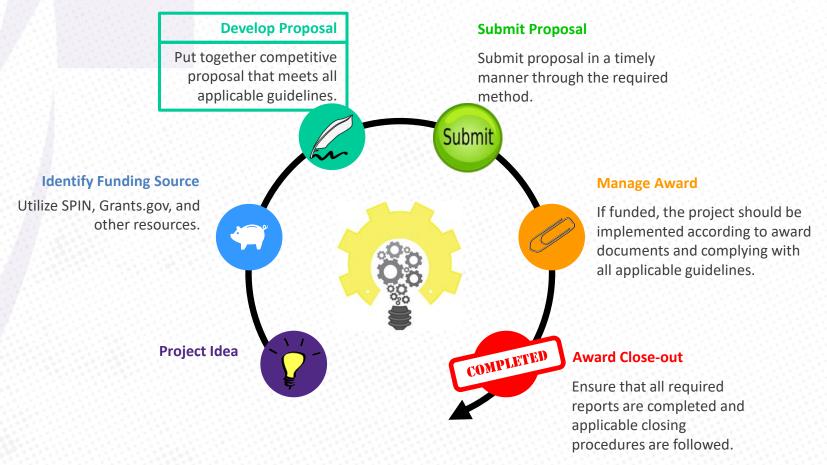
**Best Practices in Proposal Development** 



#### Topics to be Covered

- Know the funder and understand the process
- Understand the importance of the solicitation
- Develop a realistic timeline
- Talk to the program officer
- Take advantage of available resources
- Don't just write a proposal, craft it!
- Identify key aspects of budget development
- Understand the significance of budget justification
- OoR staff PreAward contacts

# Life Cycle of a Sponsored Project







#### Getting to know the funder

- At a basic level, you need to understand:
  - What are the funding priorities of the agency?
  - Who/what do they fund?
  - What process (e.g. ad hoc review, panel review, etc.) is used to evaluate proposals?
- A good way to learn about an agency is to serve on a review panel.
- Look for information about who/what have previously been funded under specific programs (may be on agency website)



# Solicitation/RFP/FOA/NOFA/RFA



U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES

Bureau of Health Vyorkrorce
Division of Health Careers and Financial Support

Scholarships for Disadvantaged Students:

Funding Opportunity Number: HRSA-20-006 Funding Opportunity Number: HRSA-20-006
Funding Opportunity Type: New and Competing Continuation Assistance Listings (CFDA) Number 93.925

NOTICE OF FUNDING OPPORTUNITY

Fiscal Year 2020

Application Due Date: March 3, 2020 Ensure your SAM.gov and Grants.gov registrations and passwords are current immed HRSA will not approve deadline extensions for lack of registration. HRSA will not approve deadline extensions for lack of registration Registration in all systems, including SAM gov and Grants.gov.

Issuance Date: January 3, 2020

Senior Public Health Analyst
Division of Health Careers and Financial Support Bureau of Meann Workforce
Health Resources and Services Administration
From Figure John Donn Lex 99 Bureau of Health Workforce 5600 Fishers Lane, Room 15N-78 Authority: Section 737 of the Public Health Service Act (42 U.S.C. § 293a) Telephone: (301) 443-2909 Telephone: (301) 443-2308 Email: SDSProgram@hrsa.gov

#### Major Research Instrumentation Program: (MRI)

PROGRAM SOLICITATION NSF 18-513

REPLACES DOCUMENT(S): NSF 15-504



Directorate for Computer & Information Science & Engineering

Directorate for Education & Human Resources

Directorate for Social, Behavioral & Economic Sciences

sion Window Date(s) (due by 5 p.m. submitter's local time):

January 01, 2019 - January 22, 2019

January 1 - January 19, Annually Thereafte

#### IMPORTANT INFORMATION AND REVISION NOTES

The number of MRI proposal submissions allowed per institution continues to be a maximum of three, but is now based on the dollar value of the example of the continues to be a maximum of three to the continues to be a maximum of three. But is now based on the dollar value of the example of the continues to be a maximum of three. But is now based on the dollar value of the example of the continues to be a maximum of three. But is now based on the dollar value of the example of the continues to be a maximum of three. But is now based on the dollar value of the example of the continues to be a maximum of three. But is now based on the dollar value of the example of the continues to be a maximum of three. But is now based on the dollar value of the example of the e The number of Mitty proposal submissions allowed per institution continues to be a maximum of three, but is now based on the dollar value of the encepted down (North Proposal) in a permitted in a newly-defined Track 1 (Track 1 proposals are those requested from NOR 1 (Track 2 proposals are those requesting from NOR 1 (Track 2 proposals are those requesting from NOR 1 (Track 2 proposals are those requesting from NOR 1 (Track 2 proposals are those requesting from NOR 1 (Track 2 proposals are requested are requested and 1 (Track 2 proposals are requested are requested and 1 (Track 2 proposals are requested are requested as a requested as a requested as a result in a result in a requested as a result in a result

[I]Track I proposals requesting funds from NSF less than \$100,000 will be accepted only from a) eligible performing organizations requesting instruments or social, behavioral and accommis sciences, or b) non-Ph.D-granting instruments on supporting release in any ISF-supported disciplines. Any proposal submitted in response to this solicitation should be submitted in accordance with the revised NSF Proposal & Award Policine & Procedures Guide (PAPPO) (NSF 18-1), which is effective for proposals submitted, or cue, on or after January 29, 2018. U.S. AGENCY FOR INTERNATIONAL DEVELOPMENT ANNOUNCEMENT

CALL FOR CONCEPT NOTES

Bringing Research to Impact for Development, Global Engagement, and Utilization

SCIENCE, TECHNOLOGY, INNOVATION, and PARTNERSHIP ANNUAL UNDER EXISTING PROGRAM STATEMENT (STIP APS) APS No.: 7200AA18APS00003

This Funding Opportunity is an Addendum to an existing announcement. PLEASE NOTE that all interested organizations should carefully review both this Addendum AND the broader STIP APS announcement, which can be found at the following link: https://www.grants.gov/web/grants/view-opportunity.html?oppId=301213

Potential Applicants are advised that important information contained in the STIP APS Announcement is not repeated in this Addendum.

This program is authorized in accordance with Part 1 of the Foreign Assistance Act of 1961, as amended.

Through this Addendum to the 2019-2020 Science, Technology, Innovation, and Partnership (STIP) Annual Program Statement (APS) No. 7200AA18APS00003, the U.S. Agency for International Development's U.S. Global Development Lab (USAID/LAB) seeks to engage with local higher education institutions (HEIs) in USAID Partner Countries to promote effective utilization of existing research outputs and help build the knowledge base around the research utilization process. USAID/LAB expects that activities under this Addendum will achieve three overarching objectives:



## Importance of Solicitation

- Provides insight about a specific funding opportunity
- Read carefully and make sure you (and any collaborators) understand the expectations
- Determine the funding priorities and how your project aligns
- Review eligibility requirements, deadline information, and how project should be submitted.



# Importance of Solicitation Con't

- Determine required documents: project description, biosketches, current and pending support, etc.
- Review budget guidelines what costs are allowable?, are there any costs that are required?
- Develop a project description/narrative template based on the requirements in the solicitation
- Understand what review criteria will be used



# Develop a realistic timeline

- Use proposal deadline as an endpoint and work backward to schedule tasks
- Allow time for multiple drafts of project description/narrative
- Allow time for colleagues (and Office of Research staff) to critique and proofread your draft
- If timeline isn't realistic, consider postponing submission to allow time to develop competitive proposal

# Contact the Program Officer

- Ideally this contact should take place several months before the proposal deadline.
- It should happen only after you have carefully read through the entire solicitation (more than once)
- This contact is to determine if your project idea is a good "fit" for their program
- Start with an email along with a one pager of your project idea.



#### Resources Available

- Research Development and Grant Writing News monthly newsletter
- Faculty Research Directory useful in forming project team
- Expertise in Department and College
- Expertise in the Office of Research
- Expertise in the Centers
- New Faculty Guide to Competing for Research Funding



#### Research Toolkit

- A research toolkit is available on the Office of Research website.
- The toolkit includes samples and templates for common proposal components, as well as information on the core facilities available at Tennessee Tech.
- This page is a work in progress, so please let us know if you think of something to be added.
- https://www.tntech.edu/research/resources/research-toolkit.php

# Crafting your narrative

- Carefully outline your narrative base page approximations on review criteria
- A common mistake is to spend too much time/space on laying out the problem.
- The bulk of the narrative should be focused on what you plan to do and how you plan to do it.
- Be sure to include information on the research team and their qualifications.



#### Key Aspects of Budget Development

- Review RFP for budget restrictions and other key budget details.
- The budget comes from the statement of work- costs to achieve the activities listed.
- When preparing a budget, consider both direct and indirect costs.
- Ask for help if you aren't sure!



## **Budget Justification**

- Critically important –grant funding is a competitive situation – so go the extra mile!
- The justification is a written explanation of the items requested in the budget.
- Explain why items are essential in relation to the aims and methodology of the project as well as meeting the goals of the proposal.
- The more detail the better!



## Common Budget Mistakes

- Salaries: only TTU personnel are listed in this category and their compensation must be tied to their institutional base salary.
- General Overhead Supplies: not allowed.
- Cost-share: cannot be voluntary.
- Indirect Costs Rate: this is non-negotiable.
   The institutions federal rate is to be used unless otherwise specified by the agency.



#### **OoR Pre-Award Contacts**



Amanda Gallop, Financial Analyst

- Assists with budget development
- Reviews proposal budgets



Amy Hill, Editor

- Provides editorial and graphics support
- Assists with application forms
- Submits proposal



Jamie Murdock, Grant Development Manager

- Serves as the primary point of contact faculty seeking external funding
- Assists with review of solicitation/proposal planning and application forms
- Reviews proposals against sponsor requirements/review criteria



#### Questions



#### **Upcoming Research 101 Workshops**

- Subrecipient Monitoring
  - February 4, 2021
- Post-Award Management
  - February 25, 2021
- Responsible Conduct of Research
  - March 11, 2021

